NPAC SMS/Individual Service Provider Certification and Regression Test Plan

For New Entrants Certification and Existing Service Providers/Vendors Regression Testing up to and including NPAC Release 3.4.68

Chapter 16

November 30 December 31, 20135 Release 3.4.68

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15. Individual Turn Up Test Scenarios related to NPAC Release 3.4.6.

Section 16 contains all test cases written for individual Vendor Turn Up testing of Release 3.4.6 of the NPAC software. These test cases were migrated from the old Interoperability Test Plan that was previously used for vendor testing.

1. Vendor-Stack to Stack

A. TEST IDENTITY

Test Case Number:	Ping-1 SUT Priority:		SOA Optional			
			LSMS	Optional		
Objective:	To verify that the IP layer is functioning properly. (ITP name: S2S.SOA.PING and S2S.LSMS.PING)					
Severity Explanation: Does not impact ability to provide functionality. May be waived if System Software used does						

B. REFERENCES

NANC Change Order Revision Number:	N/A	Change Order Number(s):	N/A
NANC FRS Version Number:	N/A	Relevant Requirement(s):	N/A
NANC IIS Version Number:	N/A	Relevant Flow(s):	N/A

C. PREREQUISITE

Prerequisite Test Cases:	N/A
Prerequisite NPAC Setup:	N/A
Prerequisite SP Setup:	No association established between the SOA/LSMS and NPAC SMS.

D. TEST STEPS and EXPECTED RESULTS

R #	low	NPAC or SP	Test Step	NPAC or SP	Expected Result	
1	•	SP	SOA/LSMS issues a ping.	NPAC	NPAC SMS responds to ping. Ping is successful.	

E. Pass/Fail Analysis, Ping-1

Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	FTP-1	SUT Priority: SOA		Required	
			LSMS	Required	
Objective:	To verify that the TCP layer is functioning properly. (ITP name: S2S.SOA.FTP and S2S.LSMS.FTP)				
Severity Explanation: Direct impact on ability to accomplish d instead of "ping" as a diagnostic tool to test TCP/IP part of stace				•	

B. REFERENCES

KEI EKEITCES				
NANC Change Order Revision Number:	N/A	Change Order Number(s):	N/A	
NANC FRS Version Number:	N/A	Relevant Requirement(s):	N/A	
NANC IIS Version Number:	N/A	Relevant Flow(s):	N/A	

C. PREREQUISITE

Prerequisite Test Cases:	N/A	
Prerequisite NPAC Setup:	N/A	
Prerequisite SP Setup:	No association established between the SOA/LSMS and NPAC SMS.	

D. TEST STEPS and EXPECTED RESULTS

ν.	ILDID	TEL 5 and EMI ECTED RESCEIS			
Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result	
1.	SP	SOA/LSMS issues an FTP open with user and password.	NPAC	NPAC SMS accepts FTP login request. FTP login is successful.	

E. Pass/Fail Analysis, FTP-1

Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	ACSE-1	SUT Priority:	SOA	Required		
			LSMS	Required		
	To verify that the SOA/LSMS can issue an ACSE association request and establish an association with the NPAC SMS. (ITP name: S2S.SOA.VAL.ASSOC and S2S.LSMS.VAL.ASSOC) Severity Explanation: Severe impact on ability to provide service.					

B. REFERENCES

KEI EKEITCES				
NANC Change Order Revision Number:	N/A	Change Order Number(s):	N/A	
NANC FRS Version Number:	N/A	Relevant Requirement(s):	N/A	
NANC IIS Version Number:	N/A	Relevant Flow(s):	N/A	

C. PREREQUISITE

Prerequisite Test Cases:	N/A	
Prerequisite NPAC Setup:	N/A	
Prerequisite SP Setup:	No association established between the SOA/LSMS and NPAC SMS. System clocks synchronized to within 5 minutes.	

D. TEST STEPS and EXPECTED RESULTS

Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result
1.	SP	SOA/LSMS issues association request (AARQ).	NPAC	NPAC SMS accepts association indication.
2.	NPAC	NPAC SMS issues an association response (AARE).	SP	SOA/LSMS accepts association confirmation.

Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	ACSE-2 SUT Priority:		SOA	Required		
			LSMS	Required		
Objective:	To verify that the SOA/LSMS can issue an ACSE association release request. (ITP name: S2S.SOA.VAL.RELES and S2S.LSMS.VAL.RELES)					
	Severity Explanation: Direct impact on ability to provide service. A-ABORT may be used to provide this functionality (See S2S.SOA.Val.Abort).					

B. REFERENCES

KEI EKEITCES				
NANC Change Order Revision Number:	N/A	Change Order Number(s):	N/A	
NANC FRS Version Number:	N/A	Relevant Requirement(s):	N/A	
NANC IIS Version Number:	N/A	Relevant Flow(s):	N/A	

C. PREREQUISITE

Prerequisite Test Cases:	N/A	
Prerequisite NPAC Setup:	N/A	
Prerequisite SP Setup:	An association has been established between the SOA/LSMS and NPAC SMS.	

D. TEST STEPS and EXPECTED RESULTS

υ.	ILDID	TELD WING EXTECTED RESCETS		
Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result
1.	SP	SOA/LSMS issues association release request (RLRQ).	NPAC	NPAC SMS accepts the association release indication.
2.	NPAC	NPAC SMS issues an association release response (RLRE).	SP	SOA/LSMS accepts association release confirmation.

Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

TEST IDENTITI								
Test Case Number:	ACSE-3 SUT Priority:		SOA	Required				
			LSMS	Required				
Objective:	To verify that the SOA/LSMS can issue an ACSE association abort request. (ITP name: S2S.SOA.VAL.ABORT and S2S.LSMS.VAL.ABORT) Severity Explanation: Direct impact on ability to provide service. May be used instead of							
	Unbind (\$2\$.SOA.VAL	Unbind (S2S.SOA.VAL.RELES).						

B. REFERENCES

KEI EKEITCES				
NANC Change Order Revision Number:	N/A	Change Order Number(s):	N/A	
NANC FRS Version Number:	N/A	Relevant Requirement(s):	N/A	
NANC IIS Version Number:	N/A	Relevant Flow(s):	N/A	

C. PREREQUISITE

Prerequisite Test Cases:	N/A	
Prerequisite NPAC Setup:	N/A	
Prerequisite SP Setup:	An association has been established between the SOA/LSMS and NPAC SMS.	

D. TEST STEPS and EXPECTED RESULTS

Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result		
1.	SP	SOA/LSMS issues association abort request (ABRT).	NPAC	NPAC SMS accepts the association abort indication.		

Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	ACSE-4	SUT Priority:	SOA	Required
			LSMS	Required
Objective:	To verify that the NPAC SOA/LSMS with an AC S2S.LSMS.VAL.ABOR Severity Explanation: D	SE abort request. (ITP r.T.BYNPAC)	name: S2S.SOA.VAL.AF	,

B. REFERENCES

KELEKENCES			
NANC Change Order Revision Number:	N/A	Change Order Number(s):	N/A
NANC FRS Version Number:	N/A	Relevant Requirement(s):	N/A
NANC IIS Version Number:	N/A	Relevant Flow(s):	N/A

C. PREREQUISITE

Prerequisite Test Cases:	N/A	
Prerequisite NPAC Setup:	N/A	
Prerequisite SP Setup:	An association has been established between the SOA/LSMS and NPAC SMS.	

D. TEST STEPS and EXPECTED RESULTS

Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result	
1.	NPAC	NPAC issues association abort request (ABRT).	SP	SOA/LSMS accepts the association abort indication.	

Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

2. Vendor-Security

A. TEST IDENTITY

LEST IDENTILL				
Test Case Number:	Assoc Data-1	SUT Priority:	SOA	Optional
			LSMS	Optional
Objective:	To verify that the SOA/I field populated with the association with the NP/ SEC.LSMS.VAL.ASSO Severity Explanation: N to allow a phased approa be used outside this test.	proper values for all field AC SMS. (ITP name: SE IC.NOSIG) To impact on ability to pr ach to the implementation	ds except for signature a EC.SOA.VAL.ASSOC.N	nd establish an NOSIG and for convenience only

B. REFERENCES

KETEKENCES			
NANC Change Order Revision Number:	N/A	Change Order Number(s):	N/A
NANC FRS Version Number:	N/A	Relevant Requirement(s):	N/A
NANC IIS Version Number:	N/A	Relevant Flow(s):	N/A

C. PREREQUISITE

INDREQUISITE		
Prerequisite Test	N/A	
Cases:		
Prerequisite NPAC	N/A	
Setup:		
Prerequisite SP	No association established between the SOA/LSMS and NPAC SMS.	
Setup:	System clocks synchronized to within 5 minutes.	
	Access Control attribute set according to Chapter 5 of NPAC SMS Interoperable Interface Specification. The Signature field is not populated.	

D. TEST STEPS and EXPECTED RESULTS

Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result
1.	SP	SOA/LSMS issues association request (AARQ).	NPAC	NPAC SMS accepts the association indication.
2.	NPAC	NPAC SMS issues an association response (AARE).	SP	SOA/LSMS accepts association confirmation. Association is correctly established between SOA/LSMS and NPAC SMS.

		1111111 515 115500 2 11111 1
Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	Assoc Data-2	SUT Priority:	SOA	Required
			LSMS	Required
Objective:	Verify SOA/LSMS abor ID. (ITP name: SEC.SC Severity Explanation: D	OA.INV.ASSOC.INVSY	S and SEC.LSMS.INV.A	ASSOC.INVSYŠ)

B. REFERENCES

NANC Change Order Revision Number:	N/A	Change Order Number(s):	N/A	
NANC FRS Version Number:	N/A	Relevant Requirement(s):	N/A	
NANC IIS Version Number:	N/A	Relevant Flow(s):	N/A	

C. PREREQUISITE

Prerequisite Test Cases:	N/A
Prerequisite NPAC Setup:	N/A
Prerequisite SP Setup:	No association established between the SOA/LSMS and NPAC SMS. System clocks synchronized to within 5 minutes. Access Control attribute set according to Chapter 5 of NPAC SMS Interoperable Interface Specification. The Signature field is not populated.

D. TEST STEPS and EXPECTED RESULTS

Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result
1.	SP	SOA/LSMS issues association request (AARQ).	NPAC	NPAC SMS accepts the association indication.
2.	NPAC	NPAC SMS issues an association response (AARE) with invalid systemId.	SP	SOA/LSMS aborts association with no reason provided.

Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	Assoc Data-3	SUT Priority:	SOA	Required	
			LSMS	Required	
Objective:	Verify SOA/LSMS aborts the association when the NPAC SMS replies with delayed CMIP Departure time. (ITP name: SEC.SOA.INV.ASSOC.INVT and SEC.LSMS.INV.ASSOC.INVT) Severity Explanation: Direct impact on ability to provide a secure interface.				

B. REFERENCES

TELL DIED. (CDD			
NANC Change Order Revision Number:	N/A	Change Order Number(s):	N/A
NANC FRS Version Number:	N/A	Relevant Requirement(s):	N/A
NANC IIS Version Number:	N/A	Relevant Flow(s):	N/A

C. PREREQUISITE

Prerequisite Test	N/A	
Cases:		
Prerequisite NPAC	N/A	
Setup:		
Prerequisite SP	No association established between the SOA/LSMS and NPAC SMS.	
Setup:	System clocks synchronized to within 5 minutes.	
	Access Control attribute set according to Chapter 5 of NPAC SMS Interoperable Interface Specification. The Signature field is not populated.	

D. TEST STEPS and EXPECTED RESULTS

Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result
1.	SP	SOA/LSMS issues association request (AARQ).	NPAC	NPAC SMS accepts the association indication.
2.	NPAC	NPAC SMS issues an association response (AARE) with delayed CMIP Departure time.	SP	SOA/LSMS aborts association with no reason provided.

Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	Assoc Data-4	SUT Priority:	SOA	Required		
			LSMS	Required		
Objective:	To verify that the SOA/LSMS aborts the association when the NPAC SMS replies with an out- of-order sequence number. (ITP name: SEC.SOA.INV.ASSOC.SEQ and SEC.LSMS.INV.ASSOC.SEQ)					
	Severity Explanation: Direct impact on ability to provide a secure interface.					

B. REFERENCES

NANC Change Order Revision Number:	N/A	Change Order Number(s):	N/A
NANC FRS Version Number:	N/A	Relevant Requirement(s):	N/A
NANC IIS Version Number:	N/A	Relevant Flow(s):	N/A

C. PREREQUISITE

Prerequisite Test	N/A	
Cases:		
Prerequisite NPAC	N/A	
Setup:		
Prerequisite SP	No association established between the SOA/LSMS and NPAC SMS.	
Setup:	System clocks synchronized to within 5 minutes.	
	Access Control attribute set according to Chapter 5 of NPAC SMS Interoperable Interface Specification. The Signature field is not populated.	

D. TEST STEPS and EXPECTED RESULTS

Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result	
1.	SP	SOA/LSMS issues association request (AARQ).	NPAC	NPAC SMS accepts the association indication.	
2.	NPAC	NPAC SMS issues an association response (AARE) with non-zero sequence number.	SP	SOA/LSMS aborts association with no reason provided.	

Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	Assoc Data-5	SUT Priority:	SOA	Required
			LSMS	Required
Objective:	To verify that the SOA/I field populated with the SMS. (ITP name: SEC.: Severity Explanation: D	proper values for all field SOA.VAL.ASSOC and S	ds and establish an assoc SEC.LSMS.VAL.ASSOC	iation with the NPAC

B. REFERENCES

NANC Change Order Revision Number:	N/A	Change Order Number(s):	N/A
NANC FRS Version Number:	N/A	Relevant Requirement(s):	N/A
NANC IIS Version Number:	N/A	Relevant Flow(s):	N/A

C. PREREQUISITE

THEREQUISITE		
Prerequisite Test	N/A	
Cases:		
Prerequisite NPAC	N/A	
Setup:		
Prerequisite SP	No association established between the SOA/LSMS and NPAC SMS.	
Setup:	System clocks synchronized to within 5 minutes.	
	Access Control attribute set according to Chapter 5 of NPAC SMS Interoperable Interface Specification. Encrypted Signature field is populated.	

D. TEST STEPS and EXPECTED RESULTS

Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result
1.	SP	SOA/LSMS issues association request (AARQ).	NPAC	NPAC SMS accepts the association indication.
2.	NPAC	NPAC SMS issues an association response (AARE).	SP	SOA/LSMS accepts association confirmation. Association is correctly established between SOA/LSMS and NPAC SMS.

	1 400/1 41	Tildijsis, Tissoc Dud e
Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	Assoc Data-6	SUT Priority:	SOA	Required
			LSMS	Required
Objective:	Verify SOA/LSMS abor Key. (ITP name: SEC.S Severity Explanation: D	OA.INV.ASSOC.INVK	and SEC.LSMS.INV.AS	SSOC.INVK)

B. REFERENCES

NANC Change Order Revision Number:	N/A	Change Order Number(s):	N/A
NANC FRS Version Number:	N/A	Relevant Requirement(s):	N/A
NANC IIS Version Number:	N/A	Relevant Flow(s):	N/A

C. PREREQUISITE

N/A	
N/A	
No association established between the SOA/LSMS and NPAC SMS.	
System clocks synchronized to within 5 minutes.	
Access Control attribute set according to Chapter 5 of NPAC SMS Interoperable Interface Specification. Encrypted Signature field is populated.	
	N/A No association established between the SOA/LSMS and NPAC SMS. System clocks synchronized to within 5 minutes. Access Control attribute set according to Chapter 5 of NPAC SMS Interoperable Interface

D. TEST STEPS and EXPECTED RESULTS

Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result
1.	SP	SOA/LSMS issues association request (AARQ).	NPAC	NPAC SMS accepts the association indication.
2.	NPAC	NPAC SMS issues an association response (AARE) with invalid keyld or listId.	SP	SOA/LSMS aborts association with no reason provided.

Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	Assoc Data-7	SUT Priority:	SOA	Required
			LSMS	Required
Objective:	To verify that the SOA/I Tool contains an access SEC.SOA.INV.ASSOC. Severity Explanation: D	control field with an inva INVSIG and SEC.LSMS	alid signature. (ITP name S.INV.ASSOC.INVSIG)	e:

B. REFERENCES

TELL DIED. (CDD			
NANC Change Order Revision Number:	N/A	Change Order Number(s):	N/A
NANC FRS Version Number:	N/A	Relevant Requirement(s):	N/A
NANC IIS Version Number:	N/A	Relevant Flow(s):	N/A

C. PREREQUISITE

THEREQUISITE		
Prerequisite Test	N/A	
Cases:		
Prerequisite NPAC	N/A	
Setup:		
Prerequisite SP	No association established between the SOA/LSMS and NPAC SMS.	
Setup:	System clocks synchronized to within 5 minutes.	
	Access Control attribute set according to Chapter 5 of NPAC SMS Interoperable Interface Specification. Encrypted Signature field is populated.	

D. TEST STEPS and EXPECTED RESULTS

Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result
1.	SP	SOA/LSMS issues association request (AARQ).	NPAC	NPAC SMS accepts the association indication.
2.	NPAC	NPAC SMS issues an association response (AARE) with invalid signature.	SP	SOA/LSMS aborts association with no reason provided.

Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	Assoc Data-8	SUT Priority:	SOA	Required
			LSMS	Required
Objective:	NPAC SMS which cont SEC.SOA.INV.NOT.IN	ains an access control f IVSIG and SEC.LSMS. Direct impact on ability	ield with an invalid INV.NOT.INVSIC to provide a secure	

B. REFERENCES

REFERENCES				
NANC Change Order	N/A	Change Order	N/A	
Revision Number:		Number(s):		
NANC FRS Version	N/A	Relevant	N/A	
Number:		Requirement(s):		
NANC IIS Version	N/A	Relevant Flow(s):	N/A	
Number:				

C. PREREQUISITE

IKEKEQUISITE		
Prerequisite Test	N/A	
Cases:		
Prerequisite NPAC	N/A	
Setup:		
Prerequisite SP	An association is established between the SOA/LSMS and NPAC SMS.	
Setup:	System clocks synchronized to within 5 minutes.	

D. TEST STEPS and EXPECTED RESULTS

ъ.	TEST STETS and EXTECTED RESULTS						
Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result			
1.	NPAC	NPAC SMS issues an InpNPAC- SMS-Operational-Information notification with an invalid signature field.	SP	SOA/LSMS accepts the notification.			
2.	SP	SOA/LSMS detects the invalid signature.	SP	SOA/LSMS aborts association with no reason provided.			

	I MODI I M	i mary sis, rissoc bata o
Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	Assoc Data-9	SUT Priority:	SOA	Required	
			LSMS	Required	
Objective:	NPAC SMS which c name: SEC.SOA.IN Severity Explanation	ontains an access control V.CRETE.INVSEQ and	I field with an invalid SEC.LSMS.INV.CR by to provide a secure	ves a create request from the disequence number. (ITP EATE.INVSEQ)	

B. REFERENCES

REFERENCES			
NANC Change Order	N/A	Change Order	N/A
Revision Number:		Number(s):	
NANC FRS Version	N/A	Relevant	N/A
Number:		Requirement(s):	
NANC IIS Version	N/A	Relevant Flow(s):	N/A
Number:			

C. PREREQUISITE

IKEKEQUISITE		
Prerequisite Test	N/A	
Cases:		
Prerequisite NPAC	N/A	
Setup:		
Prerequisite SP	An association is established between the SOA/LSMS and NPAC SMS.	
Setup:	System clocks synchronized to within 5 minutes.	

D. TEST STEPS and EXPECTED RESULTS

Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result		
1.	NPAC	NPAC SMS sends a create request with an invalid sequence number.	SP	SOA/LSMS accepts the request.		
2.	SP	SOA/LSMS detects the invalid sequence number.	SP	SOA/LSMS aborts association with no reason provided.		

		2 121111 j 515 j 12550 c 2 11 tu >
Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	Assoc Data-10	SUT Priority:	SOA	Required
			LSMS	Required
Objective: To verify that the SOA/LSMS aborts an association when it receives a set request fro NPAC SMS, which contains an access control field with an invalid signature. (ITP n SEC.SOA.INV.SET.INVSIG and SEC.LSMS.INV.SET.INVSIG) Severity Explanation: Direct impact on ability to provide a secure interface. Verifies violation handling at CMIP M-SET level.		d signature. (ITP name:		

B. REFERENCES

REFERENCES				
NANC Change Order	N/A	Change Order	N/A	
Revision Number:		Number(s):		
NANC FRS Version	N/A	Relevant	N/A	
Number:		Requirement(s):		
NANC IIS Version	N/A	Relevant Flow(s):	N/A	
Number:				

C. PREREQUISITE

TREREQUISITE		
Prerequisite Test	N/A	
Cases:		
Prerequisite NPAC	N/A	
Setup:		
Prerequisite SP	An association is established between the SOA/LSMS and NPAC SMS.	
Setup:	System clocks synchronized to within 5 minutes.	

D. TEST STEPS and EXPECTED RESULTS

		TELD UNG EMI ECTED RESCEIS						
Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result				
1.	NPAC	NPAC SMS sends a set request with an invalid signature.	SP	SOA/LSMS accepts the request.				
2.	SP	SOA/LSMS detects the invalid signature.	SP	SOA/LSMS aborts association with no reason provided.				

		111111 j 525 j 1255 0 C 2 U U 1 U
Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	Assoc Data-11 S	SUT Priority:	SOA	Required	
			LSMS	Required	
Objective:	To verify that the SOA/LSMS aborts an association when it receives an action request fro NPAC SMS, which contains an access control field with an invalid system ID. (ITP nam SEC.SOA.INV.ACTION.INVSYS and SEC.LSMS.INV.ACTION.INVSYS) Severity Explanation: Direct impact on ability to provide a secure interface. Verifies sec violation handling at CMIP M-ACTION level.				

B. REFERENCES

REFERENCES			
NANC Change Order	N/A	Change Order	N/A
Revision Number:		Number(s):	
NANC FRS Version	N/A	Relevant	N/A
Number:		Requirement(s):	
NANC IIS Version	N/A	Relevant Flow(s):	N/A
Number:			

C. PREREQUISITE

IKEKEQUISITE		
Prerequisite Test	N/A	
Cases:		
Prerequisite NPAC	N/A	
Setup:		
Prerequisite SP	An association is established between the SOA/LSMS and NPAC SMS.	
Setup:	System clocks synchronized to within 5 minutes.	

D. TEST STEPS and EXPECTED RESULTS

	TEST STETS WING ENTESTED RESCETS				
Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result	
1.	NPAC	NPAC SMS sends an action request with an invalid system ID.	SP	SOA/LSMS accepts the request.	
2.	SP	SOA/LSMS detects the invalid system ID.	SP	SOA/LSMS aborts association with no reason provided.	

		111111 j 525 j 1255 0 C 2 UU 12
Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	Assoc Data-12	SUT Priority:	SOA	Required	
			LSMS	Required	
Objective:	NPAC SMS, which cont (ITP name: SEC.SOA.II Severity Explanation: D	o verify that the SOA/LSMS aborts an association when it receives a get request from the IPAC SMS, which contains an access control field with an invalid CMIP Departure Time. ITP name: SEC.SOA.INV.GET.INVT and SEC.LSMS.INV.GET.INVT) everity Explanation: Direct impact on ability to provide a secure interface. Verifies security involved in the control of the control			

B. REFERENCES

REFERENCES				
NANC Change Order	N/A	Change Order	N/A	
Revision Number:		Number(s):		
NANC FRS Version	N/A	Relevant	N/A	
Number:		Requirement(s):		
NANC IIS Version	N/A	Relevant Flow(s):	N/A	
Number:				

C. PREREQUISITE

TREREQUISITE		
Prerequisite Test	N/A	
Cases:		
Prerequisite NPAC	N/A	
Setup:		
Prerequisite SP	An association is established between the SOA/LSMS and NPAC SMS.	
Setup:	System clocks synchronized to within 5 minutes.	

D. TEST STEPS and EXPECTED RESULTS

ъ.	TEST STEED AND EXTECTED RESCETS				
Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result	
1.	NPAC	NPAC SMS sends an action request with an invalid CMIP Departure Time.	SP	SOA/LSMS accepts the request.	
2.	SP	SOA/LSMS detects the invalid CMIP Departure Time.	SP	SOA/LSMS aborts association with no reason provided.	

Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	Assoc Data-13	SUT Priority:	SOA	Required
			LSMS	Required
Objective:	NPAC SMS, which of SEC.SOA.INV.DELI Severity Explanation	contains an access control ETE.INVSIG and SEC.I	ol field with an invalid LSMS.INV.DELETE by to provide a secure	res a delete request from the d signature. (ITP name: .INVSIG)

B. REFERENCES

REFERENCES				
NANC Change Order	N/A	Change Order	N/A	
Revision Number:		Number(s):		
NANC FRS Version	N/A	Relevant	N/A	
Number:		Requirement(s):		
NANC IIS Version	N/A	Relevant Flow(s):	N/A	
Number:				

C. PREREQUISITE

TREREQUISITE		
Prerequisite Test	N/A	
Cases:		
Prerequisite NPAC	N/A	
Setup:		
Prerequisite SP	An association is established between the SOA/LSMS and NPAC SMS.	
Setup:	System clocks synchronized to within 5 minutes.	

D. TEST STEPS and EXPECTED RESULTS

<u></u>		TELS UNG ESTEE RESCEIS		
Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result
1.	NPAC	NPAC SMS sends a delete request with an invalid signature.	SP	SOA/LSMS accepts the request.
2.	SP	SOA/LSMS detects the invalid signature.	SP	SOA/LSMS aborts association with no reason provided.

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Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	Assoc Data-14 SUT Priority:		SOA	Conditional		
			LSMS	N/A		
Objective:	Verify SOA aborts the association when the NPAC SMS ITP Tool replies with an invalid System ID, the system id of the associated service provider. (ITP name: SEC.SOA.INV.ASSOC.ASSOCSP.INVSYS)					
Severity Explanation: This test case must be executed if th service provider functionality.			executed if the SOA	is supporting associated		

B. REFERENCES

REFERENCES				
NANC Change Order	N/A	Change Order	N/A	
Revision Number:		Number(s):		
NANC FRS Version	N/A	Relevant	N/A	
Number:		Requirement(s):		
NANC IIS Version	N/A	Relevant Flow(s):	N/A	
Number:				

C. PREREQUISITE

Prerequisite Test Cases:	N/A	
Prerequisite NPAC Setup:	N/A	
Prerequisite SP Setup:	No association is established between the SOA/LSMS and NPAC SMS. System clocks synchronized to within 5 minutes. Access Control attribute set according to Chapter 5 of NPAC SMS Interoperable Interface Specification. The Signature field is not populated.	
	SystemId specified is an invalid SystemId or if supported by the SOA is a SystemId of an associated service provider defined in the NPAC SMS.	

D. TEST STEPS and EXPECTED RESULTS

Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result
1.	SP	SOA/LSMS issues association request (AARQ).	NPAC	NPAC SMS accepts association indication.
2.	NPAC	NPAC SMS issues an association response (AARE) with invalid systemId.	SP	SOA/LSMS aborts association with no reason provided.

Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

3. Vendor-Association Management

A. TEST IDENTITY

Test Case Number:	Assoc Mgmt-1	SUT Priority:	SOA	Required
			LSMS	Required
Objective:	To verify that the SOA/I request is rejected with r AMG.SOA.ASSOC.SAI Severity Explanation: D	reason as RETRY-SAME ME and AMG.LSMS.AS	E-HOST. (ITP name: SSOC.SAME)	the initial association

B. REFERENCES

NANC Change Order Revision Number:	N/A	Change Order Number(s):	N/A
NANC FRS Version Number:	N/A	Relevant Requirement(s):	N/A
NANC IIS Version Number:	N/A	Relevant Flow(s):	N/A

C. PREREOUISITE

FREREQUISITE		
Prerequisite Test	N/A	
Cases:		
Prerequisite NPAC	N/A	
Setup:		
Prerequisite SP	No association established between the SOA/LSMS and NPAC SMS.	
Setup:	System clocks synchronized to within 5 minutes.	
	Access Control attribute set according to Chapter 5 of NPAC SMS Interoperable Interface Specification.	

D. TEST STEPS and EXPECTED RESULTS

Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result
1.	SP	SOA/LSMS issues association request (AARQ).	NPAC	NPAC SMS accepts the association indication.
2.	NPAC	NPAC SMS issues an association response (AARE) with errorCode = retry-same-host.	SP	SOA/LSMS accepts association confirmation.
3.	SP	SOA/LSMS issues association request (AARQ) with same address as previously sent.	NPAC	NPAC SMS accepts the association indication.
4.	NPAC	NPAC SMS issues an association response (AARE).	SP	SOA/LSMS accepts association confirmation. Association is correctly established between SOA/LSMS and NPAC SMS.

Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	Assoc Mgmt-2	SUT Priority:	SOA	Required
			LSMS	Required
Objective:	To verify that the SOA/I association request is rej AMG.SOA.ASSOC.OTI Severity Explanation: D	ected with reason as RE HER and AMG.LSMS.A	TRY-OTHER-HOST. (I ASSOC.OTHER)	

B. REFERENCES

NANC Change Order Revision Number:	N/A	Change Order Number(s):	N/A
NANC FRS Version Number:	N/A	Relevant Requirement(s):	N/A
NANC IIS Version Number:	N/A	Relevant Flow(s):	N/A

C. PREREQUISITE

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D. TEST STEPS and EXPECTED RESULTS

Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result
1.	SP	SOA/LSMS issues association request (AARQ).	NPAC	NPAC SMS accepts the association indication.
2.	NPAC	NPAC SMS issues an association response (AARE) with errorCode = retry-other-host.	SP	SOA/LSMS accepts association confirmation.
3.	SP	SOA/LSMS issues association request (AARQ) to backup address of the NPAC SMS.	NPAC	NPAC SMS accepts the association indication.
4.	NPAC	NPAC SMS issues an association response (AARE).	SP	SOA/LSMS accepts association confirmation. Association is correctly established between SOA/LSMS and backup location of NPAC SMS.

Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	Assoc Mgmt-3	SUT Priority:	Priority: SOA Optional	
			LSMS	Optional
Objective:	To verify that the SOA/LSMS times out a request after the configured retry interval when the NPAC SMS did not respond. (ITP name: AMG.SOA.REQTMOT and AMG.LSMS.REQTMOT)			ry interval when the
	Severity Explanation: Current NPAC SMS guidelines do not suggest any retries.			retries.

B. REFERENCES

NANC Change Order Revision Number:	N/A	Change Order Number(s):	N/A
NANC FRS Version Number:	N/A	Relevant Requirement(s):	N/A
NANC IIS Version Number:	N/A	Relevant Flow(s):	N/A

C. PREREQUISITE

TREREQUISITE	
Prerequisite Test	N/A
Cases:	
Prerequisite NPAC	N/A
Setup:	
Prerequisite SP	An association is established between the SOA/LSMS and NPAC SMS.
Setup:	System clocks synchronized to within 5 minutes.
	The MIB is populated with all instances of the information model.

D. TEST STEPS and EXPECTED RESULTS

Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result
1.	SP	SOA/LSMS issues a CMIP request (M-GET on all attribute of a managed object instance).	NPAC	NPAC SMS does not respond to the request.
2.	SP	SOA/LSMS times out the request and reissues it after the configured retry interval has elapsed.	NPAC	NPAC SMS accepts the second request.
3.	NPAC	NPAC SMS issues a CMIP response.	SP	SOA/LSMS receives response. First request times out. Second request is successful

Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	Assoc Mgmt-4	SUT Priority:	SOA	Optional
			LSMS	Optional
Objective:	To verify that the SOA/LSMS retries a CMIP request for 3 times with a configured retry interval timeout between tries when the NPAC SMS does not respond. After the 3 rd attemp SOA/LSMS aborts the association. (ITP name: AMG.SOA.RETRY.CMIP and AMG.LSMS.RETRY.CMIP)			ond. After the 3 rd attempt, the
	Severity Explanation: (Current NPAC SMS g	uidelines do not sugg	gest any retries.

B. REFERENCES

REFERENCES				
NANC Change Order	N/A	Change Order	N/A	
Revision Number:		Number(s):		
NANC FRS Version	N/A	Relevant	N/A	
Number:		Requirement(s):		
NANC IIS Version	N/A	Relevant Flow(s):	N/A	
Number:				

C. PREREQUISITE

Prerequisite Test	N/A
Cases:	
Prerequisite NPAC	N/A
Setup:	
Prerequisite SP	An association is established between the SOA/LSMS and NPAC SMS.
Setup:	System clocks synchronized to within 5 minutes.
	The MIB is populated with all instances of the information model.

D. TEST STEPS and EXPECTED RESULTS

Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result
1.	SP	SOA/LSMS issues a CMIP request (M-GET on all attribute of a managed object instance).	NPAC	NPAC SMS does not respond to the request.
2.	SP	SOA/LSMS times out the request and reissues it after the configured retry interval has elapsed.	NPAC	NPAC SMS does not respond to the request.
3.	SP	SOA/LSMS times out the request and reissues it after the configured retry interval has elapsed.	NPAC	NPAC SMS does not respond to the request.
4.	SP	SOA/LSMS aborts the association and establishes a new association.	NPAC	NPAC SMS responds to the <u>bind</u> request.

Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	Assoc Mgmt-5	SUT Priority:	SOA	Optional
			LSMS	Optional
Objective:	an association request. AMG.LSMS.RETRY.A Severity Explanation: N connection. However, i	(ITP name: AMG.SOA ASSOC) No requirements exist of it is recommended that NPAC SMS be attemp	A.RETRY.ASSOC a on how to troubleshed if an association cated. If the secondar	oot an NPAC SMS nnot be established with the ry NPAC SMS replies with

B. REFERENCES

NANC Change Order Revision Number:	N/A	Change Order Number(s):	N/A
NANC FRS Version Number:	N/A	Relevant Requirement(s):	N/A
NANC IIS Version Number:	N/A	Relevant Flow(s):	N/A

C. PREREQUISITE

Prerequisite Test Cases:	N/A	
Prerequisite NPAC Setup:	N/A	
Prerequisite SP Setup:	No association established between the SOA/LSMS and NPAC SMS. System clocks synchronized to within 5 minutes.	

D. TEST STEPS and EXPECTED RESULTS

Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result
1.	SP	SOA/LSMS issues association request (AARQ).	NPAC	NPAC SMS does not respond to the request.
2.	SP	SOA/LSMS times out the request and reissues it after the configured retry interval has elapsed, taking into consideration one of the following: 1. Automatically retry primary NPAC. 2. Automatically retry backup NPAC.	NPAC	NPAC SMS does not respond to the request.
3.	SP	SOA/LSMS issues association request (AARQ) to 1 and/or 2 until an association is established.	NPAC	NPAC SMS accepts the association indication.
4.	NPAC	NPAC SMS issues an association response (AARE).	SP	SOA/LSMS accepts association confirmation. Association is correctly established between SOA/LSMS and primary/backup location of NPAC SMS.

Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	Assoc Mgmt-6	SUT Priority:	SOA	Required	
			LSMS	Required	
Objective:	To verify that the SOA/LSMS detects and recovers from security violations. (ITP name: AMG.SOA.SECVIOL and AMG.LSMS.SECVIOL)				
	Severity Explanation: Direct impact on ability to provide service.				

B. REFERENCES

NANC Change Order Revision Number:	N/A	Change Order Number(s):	N/A
NANC FRS Version Number:	N/A	Relevant Requirement(s):	N/A
NANC IIS Version Number:	N/A	Relevant Flow(s):	N/A

C. PREREQUISITE

Prerequisite Test	N/A
Cases:	
Prerequisite NPAC	N/A
Setup:	
Prerequisite SP	An association is established between the SOA/LSMS and NPAC SMS.
Setup:	System clocks synchronized to within 5 minutes.
	The MIB is populated with all instances of the information model.

D. TEST STEPS and EXPECTED RESULTS

Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result
1.	NPAC	NPAC SMS ITP Tool issues an M- EVENT-REPORT with an invalid signature on a pre-established and active association.	SP	SOA/LSMS detects the security breach and aborts the association with no reason provided.
2.	SP	SOA/LSMS proceeds to re-establish an association request (AARQ) with the NPAC SMS using either the same key or a different key.	NPAC	NPAC SMS accepts the association indication.
3.	NPAC	NPAC SMS issues an association response (AARE).	SP	SOA/LSMS accepts association confirmation. Compromised association is aborted and a new association is established with the same key or a different key between SOA/LSMS and NPAC SMS.

1.	I UDD/I U	i mary sis, respectively
Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	Assoc Mgmt-7	SUT Priority:	SOA	Required		
			LSMS	Required		
Objective:	To verify that the SOA/LSMS detects and recovers from loss of association. (ITP name: AMG.SOA.LOSS and AMG.LSMS.LOSS)					
	Severity Explanation: Direct impact on ability to provide service.					

B. REFERENCES

NANC Change Order Revision Number:	N/A	Change Order Number(s):	N/A	
NANC FRS Version Number:	N/A	Relevant Requirement(s):	N/A	
NANC IIS Version Number:	N/A	Relevant Flow(s):	N/A	

C. PREREQUISITE

Prerequisite Test Cases:	N/A
Prerequisite NPAC Setup:	N/A
Prerequisite SP Setup:	An association is established between the SOA/LSMS and NPAC SMS. System clocks synchronized to within 5 minutes.

D. TEST STEPS and EXPECTED RESULTS

υ.	TESTS	TEPS and EXPECTED RESULTS		
Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result
1.	NPAC	An established and active association between the NPAC SMS and SOA/LSMS is manually torn down (i.e., temporarily disconnect the network connection).	SP	SOA/LSMS detects the loss of association.
2.	SP	SOA/LSMS proceeds to re-establish an association request (AARQ) with the NPAC SMS.	NPAC	NPAC SMS accepts the association indication.
3.	NPAC	NPAC SMS issues an association response (AARE).	SP	SOA/LSMS accepts association confirmation. Lost association is detected and a new association is established.

		111111111111111111111111111111111111111
Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Test Case Number:	Assoc Mgmt-8	SUT Priority:	SOA	Required		
			LSMS	Required		
Objective:	AMG.SOA.DOWN and	To verify that the SOA/LSMS detects and recovers from NPAC SMS going down. (ITP name: AMG.SOA.DOWN and AMG.LSMS.DOWN) Severity Explanation: Direct impact on ability to provide service.				

B. REFERENCES

NANC Change Order Revision Number:	N/A	Change Order Number(s):	N/A
NANC FRS Version Number:	N/A	Relevant Requirement(s):	N/A
NANC IIS Version Number:	N/A	Relevant Flow(s):	N/A

C. PREREQUISITE

Prerequisite Test Cases:	N/A
Prerequisite NPAC Setup:	N/A
Prerequisite SP Setup:	An association is established between the SOA/LSMS and NPAC SMS. System clocks synchronized to within 5 minutes.

D. TEST STEPS and EXPECTED RESULTS

Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result
1.	NPAC	The NPAC SMS is manually brought down.	SP	SOA/LSMS detects the NPAC SMS is down.
2.	SP	SOA/LSMS proceeds to re-establish an association request (AARQ) with the NPAC SMS.	NPAC	NPAC SMS accepts the association indication.
3.	NPAC	NPAC SMS issues an association response (AARE).	SP	SOA/LSMS accepts association confirmation. NPAC SMS failure is detected and an association is reestablished.

Pass	Fail	NPAC personnel performed the test case as written.
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>

Assoc Mgmt-9	SUT Priority:	SOA	Required		
		LSMS	Required		
association bind request AMG.SOA.NEW.BIND	,				
	To verify that the SOA/I association bind request AMG.SOA.NEW.BIND	To verify that the SOA/LSMS handles an associa association bind request is received, and the first AMG.SOA.NEW.BIND and AMG.LSMS.NEW	LSMS To verify that the SOA/LSMS handles an association abort error message		

B. REFERENCES

NANC Change Order Revision Number:	N/A	Change Order Number(s):	N/A
NANC FRS Version Number:	N/A	Relevant Requirement(s):	N/A
NANC IIS Version Number:	N/A	Relevant Flow(s):	N/A

C. PREREQUISITE

111212		
Prerequisite Test Cases:	N/A	
Prerequisite NPAC Setup:	N/A	
Prerequisite SP Setup:	An association is established between the SOA/LSMS and NPAC SMS. System clocks synchronized to within 5 minutes.	

D. TEST STEPS and EXPECTED RESULTS

Row #	NPAC or SP	Test Step	NPAC or SP	Expected Result
1.	SP	SOA/LSMS issues another association request (AARQ) with the NPAC SMS.	NPAC	NPAC SMS accepts the association indication.
2.	NPAC	NPAC SMS issues an association response (AARE) with errorCode = new-bind-received.	SP	SOA/LSMS accepts association confirmation. First association is aborted, and second association is successfully established with NPAC SMS ITP Tool.

Pass	Fail	NPAC personnel performed the test case as written.	
Pass	Fail	Vendor personnel performed the test case as written and <add evaluate="" required="" results="" test="" to="" vendor-specific="" verifications=""></add>	